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8-9-02

PTO/SB/21 (modified)

Approved for use through xx/xx/xx, OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO Rev. 10/95  <b>TRANSMITTAL FORM</b>  (to be used for all correspondence during pendency of filed application)	U.S. Department of Commerce Patent and Trademark Office	Application Number	09/976,841
		Filing Date	10/13/2001
		First Named Inventor	Jeffrey C. Hawkins
		Group Art Unit Number	2681
		Examiner Name	Not Yet Known
Total Number of Pages in This Submission	6*	Attorney Docket Number	21495-05938

ENCLOSURES (check all that apply)		RECEIVED
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REMARKS: \*Does not include cited reference pages.

SIGNATURE OF ATTORNEY OR AGENT		
Signature:	Eileen A. Lehmann	
Attorney/Reg. No.:	Eileen A. Lehmann/Reg. No. 39,272	Dated: 7/19/02

CERTIFICATE OF MAILING		
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.		
Signature:	Eileen A. Lehmann	
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Jeffrey C. Hawkins *et al.*

APPLICATION NO.: 09/976,841

FILING DATE: 10/13/2001

TITLE: Hand-Held Device

EXAMINER: Not Yet Known

GROUP ART UNIT: 2681

ATTY. DKT. NO.: 21495-05938

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By: Eileen A. Lehmann  
Eileen A. Lehmann, Reg. No.: 39, 272

COMMISSIONER FOR PATENTS  
WASHINGTON, DC. 20231

INFORMATION DISCLOSURE STATEMENT

Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:
  - ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
  - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
    - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
    - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
  - ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
    - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
    - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application

and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant request that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. [APPLICATION NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,  
JEFFREY C. HAWKINS *ET AL.*

Dated: 7/19/02

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<b>FORM PTO-1449</b> (REV. 6-89) <b>INFORMATION DISCLOSURE CITATION</b>  (Use several sheets if necessary)	U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office	Attorney's Docket No. <b>21495-05938</b>	Application No. <b>09/976,841</b>
	Applicant <b>Jeffrey C. Hawkins</b>		
	Filing Date <b>10/13/2000</b>	Group Art Unit <b>2681</b>	

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A	US D.456,805 S	05/07/02	Ono et al.	D14	342	04/05/01
	B	US D455,135 S	04/02/02	Hyun	D14	138	06/08/01
	C	US D454,349 S	03/12/02	Makidera et al.	D14	343	08/08/01
	D	US D450,307 S	11/13/01	Xu et al.	D14	138	09/29/00
	E	US D446,199 S	08/07/01	Xu et al.	D14	138	12/18/00
	F	US D443,865 S	06/19/01	Kim	D14	138	03/29/00
	G	US D442,156 S	05/15/01	Lee	D14	138	12/23/99
	H	US D440,959 S	04/24/01	Wolf et al.	D14	191	09/01/00
	I	US D439,232 S	03/20/01	Ledingham et al.	D14	144	05/05/00
	J	Des. 433,016	10/31/00	Matsuda et al.	D14	345	03/08/00
	K	6,141,540	10/31/00	Richards et al.	455	90	
	L	Des. 432,535	10/24/00	Loh et al.	D14	345	
	M	Des. 432,099	10/17/00	Loh et al.	D14	100	
	N	Des. 423,468	04/25/00	Jenkins	D14	100	
	O	Des. 421,744	03/21/00	Ono	D14	100	
	P	5,990,872	11/23/99	Jorgenson et al.	345	168	

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**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

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EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.  
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JUL 23 2002

Sheet 2 of 2

<b>FORM PTO-1449</b> (REV. 6-89)	U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office	Attorney's Docket No. 21495-05938	Application No. 09/976,841
<b>INFORMATION DISCLOSURE CITATION</b>  (Use several sheets if necessary)		Applicant Jeffrey C. Hawkins	
		Filing Date 10/13/2000	Group Art Unit 2681

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	Q	Des. 416,256	11/09/99	Griffin et al.	D14	191	
	R	Des. 416,001	11/02/99	Tal et al.	D14	100	
	S	Des. 410,486	06/01/99	Takahata et al.	D18	2	
	T	Des. 409,185	05/04/99	Kawashima	D14	138	
	U	Des. 408,021	04/13/99	Haitani et al.	D14	100	
	V	Des. 408,030	04/13/99	Richards et al.	D14	138	
	W	5,825,675	10/20/98	Want et al.	364	708.1	
	X	Des. 395,300	06/16/98	Yamazaki et al.	D14	138	
	Y	Des. 386,497	11/18/97	Huslig et al.	D14	191	
	Z	Des. 385,875	11/04/97	Harris et al.	D14	138	
	AA	5,584,054	12/10/96	Tyneski et al.	455	89	
	BB	Des. 354,478	01/17/95	Miyahara	D14	100	

## FOREIGN PATENT DOCUMENTS

[illegible]

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED	

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